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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/823,242	03/30/2001	Toshikatsu Maeda	263/129	6319

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CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS, PLLC  
1420 FIFTH AVENUE  
SUITE 2800  
SEATTLE, WA 98101-2347

EXAMINER

ZHONG, CHAD

ART UNIT	PAPER NUMBER
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2152

DATE MAILED: 09/24/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

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## Office Action Summary

Application No.

09/823,242

Applicant(s)

MAEDA, TOSHIKATSU

Examiner

Chad Zhong

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 29 July 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-18 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-18 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
- 1) ☒ Certified copies of the priority documents have been received.
  - 2) ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - 3) ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

**DETAILED ACTION**

1. Claims 1-18 are presented for examination.
2. It is noted that although the present application does contain line numbers in specification and claims, the line numbers in the claims do not correspond to the preferred format. The preferred format is to number each line of every claim, with each claim beginning with line 1. For ease of reference by both the Examiner and Applicant all future correspondence should include the recommended line numbering.
3. Applicant is required to update the status (pending, allowed, etc.) of all parent priority applications in the first line of the specification. The status of all citations of US filed applications in the specification should also be updated where appropriate.  
  
Examiner was unable to locate Japanese Patent Application Laid Open No. Sho60-198950, further, the Applicant's description of the transfer gate is vague and imprecise. The Examiner requests the Applicant to provide the translated version of Sho60-198950 along with proper functionality regarding to the transfer gate in the future amendment.

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***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371 (c) of this title before the invention thereof by the applicant for patent.

5. Claims 1-13, 16-18 are rejected under 35 U.S.C. 102(e) as being anticipated by Lamb et al. (hereinafter Lamb), US 6,747,970.

6. As per claim 1, Lamb teaches a provider transfer server which provides a predetermined service for a user node, the server comprising:

a first communication unit which serves as an access point connected from the user node;

a second communication unit which connects the server to any one of access points owned by a plurality of connection service providers (Fig 3, the first and second communication units are communications interfaces);

a detection unit which detects a connection service state of a plurality of the connection service providers (Col. 11, lines 35-45; Col. 15, lines 35-50);

a selection unit which selects a connection service provider based on the state detected by said detection unit and which instructs said second communication unit to get connected to an access point of the connection service provider selected (Col. 15, lines 35-50); and

a communication channel establishing unit which establishes a communication channel between said first and second communication units in the event that said second communication unit is connected to the access point of the selected connection service provider (Col. 15, lines 35-50; Fig 3; wherein the communications channel is established between the end node and the service node).

7. As per claim 2, Lamb teaches a provider transfer server as recited in claim 1, further comprising a unit which registers in advance a user's preference for a connection service provider to be selected, wherein said selection unit chooses a connection service provider according to the user's preference, based on the state detected by said detection unit (Col. 15, lines 1-25; Col. 16, lines 1-19; wherein the user selectively chose the type of service he/she wants).

8. As per claim 3, Lamb teaches a provider transfer server as recited in claim 1, wherein said selection unit selects a connection service provider whose lines are relatively open at the time said detection unit detects the state (Col. 15, lines 35-50).

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9. As per claim 4, Lamb teaches a provider transfer server as recited in claim 2, wherein said selection unit selects a connection service provider whose lines are relatively open at the time said detection unit detects the state (Col. 15, lines 35-50).

10. As per claim 5, Lamb teaches a provider transfer server as recited in claim 1, wherein said selection unit selects a connection service provider whose connection fee is relatively low, at the time said detection unit detects the state (Col. 15, lines 35-50).

11. As per claim 6, Lamb teaches a provider transfer server as recited in claim 2, wherein said selection unit selects a connection service provider whose connection fee is relatively low, at the time said detection unit detects the state (Col. 15, lines 35-50).

12. As per claim 7, Lamb teaches a provider transfer server as recited in claim 1, further comprising:

a recording unit which records sessions where the communication channel is established for the connection service provider, for each connection service provider; and

a charge unit which calculates a service fee incurred by a user for each connection service provider, based on data of the session recorded by said recording unit (Col. 14, lines 45-61; wherein the billing is based on length of the call and this information is kept track in a table).

13. As per claim 8, Lamb teaches a provider transfer server as recited in claim 1, wherein said second communication unit and a plurality of the connection service providers are connected in an area more local than the Internet (Col. 22, lines 25-40).

14. As per claim 9, Lamb teaches a provider transfer server as recited in claim 1, further comprising:

a unit which supplies the detected state to a terminal of the user node; and

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an acquisition unit which acquires, from the user node, an instruction on selection of the connection service provider, wherein said selection unit selects the connection service provider by referring to the instruction (table 1; Col. 15, lines 1-25; Col. 16, lines 1-19).

15. As per claims 10-12, claims 10-12 are rejected for the same reasons as rejection to claim 7 above.

16. As per claim 13, Lamb teaches a provider transfer server as recited in claim 1, further comprising:

an authenticating unit which authenticates that the user node is a legitimate user of the provider transfer server; and

an authentication data supplying unit which, upon request of authentication from the connection service provider, supplies data necessary for the requested authentication, wherein the provider transfer server is regarded as a user by the connection service provider (Col. 30, lines 15-45; wherein the user agent establishes communications with a remote server, provide for proper authentication).

17. As per claim 16, Lamb teaches a provider transfer server as recited in claim 1, wherein there are provided a plurality of said second communication units which are permanently connected to respective internet service providers, whereby said selection unit selects said second communication units (Fig 3; wherein the second communication units are service provider's servers, they are continuously activated to provide selectable services for the end users).

18. As per claim 17, Lamb teaches a provider transfer server as recited in claim 1, wherein prior to or after establishment of a connection between the user node and the provider transfer server, the detection unit accesses each internet service provider so as to obtain the latest data on the internet service providers (Col. 15, lines 1-25, lines 35-52).

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19. As per claim 18, claim 18 is rejected for the same reasons as rejection to combination of claims 1 and 13 above.

*Claim Rejections - 35 USC § 103*

20. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

21. Claims 14-15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lamb et al. (hereinafter Lamb), US 6,747,970, in view of Applicant admitted prior art (pg 12).

22. As per claim 14, Lamb does not explicitly teach a provider transfer server as recited in claim 1, wherein said selection unit includes a transceiver gate having an output disable terminal in the event that a path between said first communication unit and said second communication unit is of a digital signal path.

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23. Applicant admitted prior art teaches this function on pg 12, [0039].

24. It would have been obvious to one of ordinary skill in this art at the time of invention was made to combine the teaching of AAPA and Lamb because they both dealing with monitoring of network conditions and selecting services on behalf of user. Furthermore, the teaching of AAPA to allow a provider transfer server as recited in claim 1, wherein said selection unit includes a transceiver gate having an output disable terminal in the event that a path between said first communication unit and said second communication

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unit is of a digital signal path.

would improve the switching capabilities for Lamb's system by forming an inductive connection in between the first communication unit and the second communication unit.

### *Conclusion*

25. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The following patents and publications are cited to further show the state of the art with respect to "Provider Transfer Server And A Method Of Providing A Provider Transfer Service".

- |      |            |                   |
|------|------------|-------------------|
| i.   | US 6633910 | Rajan et al.      |
| ii.  | US 249806  | Kohda et al.      |
| iii. | US 6031896 | Gardell et al.    |
| iv.  | US 6029151 | Nikander, Pekka.  |
| v.   | US 5636346 | Saxe, Andrew N.G. |

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chad Zhong whose telephone number is (703) 305-0718. The examiner can normally be reached on M-F 7am-4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John A Follansbee can be reached on 703-305-8498. The fax phone numbers for the organization where this application or proceeding is assigned are 703-746-7239 for regular communications and 703-746-7238 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.



CZ  
September 14, 2004

A handwritten signature in black ink, appearing to read 'John Follansbee', written in a cursive style.

**JOHN FOLLANSBEE  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100**